



The
Alternative
Investment
Platform

Application
For EIS/
SEIS/BR

be
simple

*From filling out this application form, to creating
a portfolio, we make everything simplicity itself*

VENTURES
Kuber
Opening the door to EIS investment

From filling out this application form, to creating a portfolio, we make everything simplicity itself.

This application form must be read in conjunction with the following literature issued by Kuber Ventures Limited:

- > The Guide, to investing in EIS/SEIS/BR
- > The Investment Guide

Capitalised terms not defined in this application form have the meanings given to them in the Platform Guide. In the event of any conflict between the provisions of the Guides and this Application Form, the Guides will prevail.

Guide to completing the application form

Please print carefully in black ink and BLOCK CAPITALS

Please ensure you complete the relevant sections as necessary:		Tick
Section 1	– Personal Details	
Section 2	– Subscription	
Section 3	– Bank Account details	
Section 4	– Adviser details and confirmation	
Section 5	– Appropriateness	
Section 6	– Investment Selection	
Section 7	– Tax Certificates	
Section 8	– Data Protection	
Section 9	– Cancellation Rights	
Section 10	– Declaration	
Section 11	– Appendices Individual circumstances will dictate which Appendices will be required. Appendix 1 – Source of Funds/ Confirmation of Verification of Identity (Corporate and other non-personal entity introduction by an FCA-regulated firm) Appendix 2 – Self-Certified Sophisticated Investor Declaration	

Returning your allocation form (Recorded Delivery recommended):

Please return your completed application form and the identity documentation described in the following Section to: Woodside Corporate Services Limited, 4th Floor, 50 Mark Lane, London, EC3R 7QR

Paying for your investment

Cheques payable to: Woodside Corporate Services Ltd
Kuber EIS Client Account

NB – Please note that a full signature is required alongside any changes/amendments made to cheques

Transfer details:

Bank name: Metro Bank
Bank address: One Southampton Row, London, WC1B 5HA
Reference: [surname and initials]
Name: WCSL Kuber EIS Client Acc
Account Number: 16007145
Sort code: 23 05 80
IBAN: GB82MYMB23058016007145
SWIFT: MYMBGB2L

Customer Due Diligence Requirements:

We require CDD documentation for all new applications. The only exception to this is if the investor has provided documentation for a previous application dated no more than 12 months prior to this new application.

By completing and signing the Adviser Confirmation on page 12 and Appendix 1 of this application form, your FCA-authorized Adviser confirms your identity. In addition to this, we require CDD documentation as stipulated below:

CDD documentation as follows:

- > Please enclose a certified copy of 2 pieces of identity – one from list A below and one from list B below.
- > Copies must be certified as a true copy of the original document with confirmation that the photograph is a likeness. Documents may be certified by a professional authorised to sign such documents e.g. solicitor with a current UK practising certificate, a qualified accountant or an FCA authorised individual. Please ensure the certifier dates and prints their name along with their signature and confirms their profession.
- > List A - Photo identity (must be current, valid and have at least 6 months left to run)
 - > A current passport, photo card driving licence or gun licence
- > List B - Confirmation of address, (these items must be dated within the last 3 months).
 - > Utility bill, bank, building society or credit card statement (Mastercard or Visa only) or local authority tax bill.
 - > A current photo card driving licence confirming address (if not being used as proof of identity).
- > Please note that mobile telephone bills or items printed from the internet are not valid forms of identity.

01 Personal details

Title	<input type="text"/>	Address	<input type="text"/>
Forenames	<input type="text"/>		<input type="text"/>
Middle name	<input type="text"/>		<input type="text"/>
Surname	<input type="text"/>		Required if at current address for less than 3 years, please provide date.
Date of birth	<input type="text"/>	Previous address	<input type="text"/>
Telephone	<input type="text"/>		<input type="text"/>
Unique tax reference	<input type="text"/>		<input type="text"/>
National Insurance number	<input type="text"/>	Email	<input type="text"/>
Nationality	<input type="text"/>	Country of birth	<input type="text"/>
UK Tax Resident	<input type="checkbox"/>		
US Tax payer	<input type="checkbox"/>	If yes US Tax identification Number	<input type="text"/>
Please list any other tax residences	<input type="text"/>	Other Tax Identification numbers	<input type="text"/>
	<input type="text"/>		<input type="text"/>
	<input type="text"/>		<input type="text"/>
Names and partnership registration number (if applicable) of any partnerships which you are a member of:			<input type="text"/>
			<input type="text"/>
Names of any relative(s) also making or have already made an application to the Scheme: (Includes spouse, parents, grandparents, children and grandchildren.)			<input type="text"/>
			<input type="text"/>
			<input type="text"/>
If there is a registered Power of Attorney (POA)			
> POA document and verification of identity documents for each POA			
> Unless notified otherwise, all correspondence will be sent to POA			
> POA Name:			
<input type="text"/>			
> POA date of birth: (DD MM YYYY)			
<input type="text"/>			
If you'd like us to send correspondence to the POA, please give us their address (if different from the above).			
<input type="text"/>			

02 Subscription

Total subscription £ Single (minimum £20,000 for EIS/SEIS) (minimum £50,000 for BR) Subscription allocation EIS £ SEIS £ BR £

Please indicate how you will pay your single subscription

By cheque By bank transfer

NB – Please note that a full signature is required alongside any changes/ amendments made to cheques

03 Bank Account Details

Your application cannot be accepted if this information is not provided

Please provide details of the bank account to which you would like any distributions credited.

N.B. If you are funding your investments from another account of which you are not the sole owner please complete Appendix 1.

Account holder
Account number
Sort code / /
Bank name

04 Adviser details and confirmation

Contact Email
Firm name Address
Telephone
Firm FCA number

If you would like us to facilitate the payment of an agreed fee to your adviser please specify the required remuneration by completing the appropriate box/boxes:

Agreed initial remuneration* £ %
Agreed ongoing remuneration of the funds under administration* £ %

* % of total investment outlined in the section above.

By signing this form, you hereby appoint your adviser as an authorised third party. Kuber will therefore act upon any reasonable instruction received from the nominated third party in respect of your investments.

Please tick box if you do not wish Kuber Ventures to take this instruction from this third party.

Please tick box if you do not want copies of your correspondence sent to your adviser.

05 Appropriateness

Your application cannot be accepted if this information is not provided

A) Investment Objectives

The Portfolio Managers are required by the FCA Rules to obtain sufficient information from you to determine whether investment in Qualifying Shares is either suitable or appropriate for you depending on your choices of investment. Therefore it is important that you provide all of the information requested below.

The investment strategies are set out in more detail in the individual fund fact sheets. Shares in unquoted companies carry a higher risk than shares quoted on the main market of the London Stock Exchange. The investment is designed to be held for the medium to long term, and any investment should be made on the basis that it is unlikely to be redeemed for at least five years.

The Portfolios are unlikely to provide an income and it is not intended that any income or capital will be returned to investors during the relevant period.

In line with the FCA's requirement to establish a meaningful benchmark, the performance of the Portfolios will be assessed against cash (UK Sterling base-rates), without taking into account the benefit of EIS Relief.

Where you have selected SEIS or EIS investments are typically held between 4 and 8 years. You should be comfortable with this time horizon and acknowledge that you will not need any income or capital back during this time period from them.

As investment in the Portfolios involves a high degree of risk, it is not appropriate for all investors. Therefore, it is important that you read and understand the detailed risk factors relating to an investment through Kuber, as set out in the Platform Guide and the appropriate EIS, SEIS or BR Guide to Investing.

B) Investment Advice

Have you received advice on the suitability of this investment from an authorised financial adviser?

Yes

No

If you haven't received financial advice on the suitability of this investment, you will complete appendix 2 and declare yourself a Self-Certified Sophisticated Investor

If you are not completing this as an interactive PDF please write 'Yes' or 'No' as appropriate

C) Tax Relief

If you cannot take advantage of the various tax reliefs referred to in this section, the Portfolios are unlikely to be appropriate investments for you. Please answer the questions.

Are you seeking to claim income tax relief from EIS/SEIS? (You should have sufficient tax liability in the relevant tax years.)

Is it essential that you carry back income tax relief to the previous tax year? If yes, please indicate how much/ what proportion? %

Are you seeking to mitigate the potential inheritance tax liability on your estate?

Are you seeking to defer a capital gain?

If the answer is Yes, please indicate the date on which the gain you wish to defer arose, or will arise or was crystallised if previously deferred: / /

Do you have a life threatening or terminal illness

Are you aware of any significant capital commitments within the next five years which cannot be funded from your disposable income or liquid savings?

If your answer is Yes, please confirm how this commitment will be funded:

05 Appropriateness (continued)

Your application cannot be accepted if this information is not provided

D) Investment Experience

Please confirm which of the following types of investment you have made or held in the last 24 months:

	Approximate amount invested	Number of years held
Enterprise Investment Schemes	£ <input type="text"/>	<input type="text"/>
	No. of transactions	<input type="text"/>
Venture Capital Trusts	£ <input type="text"/>	<input type="text"/>
Enterprise Zone Trusts and Business Premises Renovation Allowance schemes	£ <input type="text"/>	<input type="text"/>
AIM-listed companies	£ <input type="text"/>	<input type="text"/>
Companies which are not listed	£ <input type="text"/>	<input type="text"/>
Other trading or investment partnerships	£ <input type="text"/>	<input type="text"/>
Unregulated collective investment schemes	£ <input type="text"/>	<input type="text"/>
None of the above	<input type="text"/>	

If you are a sophisticated investor please complete Appendix 1.

Are you a member of an Investor Network or syndicate of business angels ?	<input type="checkbox"/>	Regulator	<input type="text"/>
Name of organisation	<input type="text"/>	Reg No	<input type="text"/>
Have you been a director of a company with a turnover >£1m	<input type="checkbox"/>		
Do you work with investments in a Regulated capacity ?	<input type="checkbox"/>		

E) Employment and Source of Income

Please provide the following employment details

Profession / Occupation	<input type="text"/>
Employment status (eg employed / self-employed / retired)	<input type="text"/>
Income from employment (Please specify an amount)	£ <input type="text"/> p.a
Investment income / Income from other sources (Please specify an amount)	£ <input type="text"/> p.a

05 Appropriateness (continued)

Your application cannot be accepted if this information is not provided

F) Financial Situation

Please indicate the value of your net assets.

Less than £100,000	<input type="checkbox"/>
£100,000 – £250,000	<input type="checkbox"/>
Above £250,000	<input type="checkbox"/>

Do not include:

- > principal private residence or any loan secured on your residence
- > rights under an insurance policy (other than investment linked policies)
- > benefits (in the form of pensions or otherwise) which are payable on the termination of service or on death or retirement and to which you (or your dependents) are, or may be entitled.

Please describe the source of your subscription (eg capital gain, savings or earnings)

If you are not completing this as an interactive PDF please write 'Yes' or 'No' as appropriate

I confirm that:

I have been provided with the Investment Guide outlining the objectives of the relevant portfolios

I accept that the relevant Portfolios are high risk investments

The relevant portfolios are consistent with my personal investment objectives and personal risk profile

I understand that the underlying investments are illiquid and it could take many years to withdraw all of the capital from the Portfolio; however, as investments are realised, uncommitted cash can be withdrawn

I will retain sufficient capital in cash or readily realisable cash investments as an emergency fund

06 Investment Selection

Investments can be made on a self select basis or through one of the Kuber Strategies.

The minimum single lump sum investment is £20,000, with a minimum investment of £5,000 for each Scheme. Any additional lump sum investment is also subject to the minimum single premium of £20,000.

For BR investments the minimum lump sum investment is £50,000 with a minimum investment of £12,500 per scheme, unless otherwise indicated.

All funds available through Kuber are managed by an FCA authorised investment manager who will either have permissions to “manage investments from Retail customers” or “to manage an Alternative Investment Fund.”

Kuber Strategies

Diversified Growth Strategy Apr 2019

> ACDC	15%
> Atlantic Screen Media EIS	14%
> Deepbridge Technology	14%
> Start-Up Series Fund	14%
> Symvan EIS	15%
> Vala EIS Portfolio	14%
> Velocity Technology Fund	14%

Generalist Growth Strategy Apr 2019

> ACDC	25%
> Start-Up Series Fund	25%
> Vala EIS Portfolio	25%
> Start up Funding club	25%

Generalist Technology Strategy Apr 2019

> Boundary Capital AngelPlus	16%
> Committed Capital	17%
> Deepbridge Technology EIS	17%
> Par Syndicate EIS	17%
> Symvan Technology EIS Fund	17%
> Velocity Technology EIS Fund	16%

Specialist Technology Strategy Apr 2019

> Centaur EIS	25%
> Deepbridge Life Sciences EIS	25%
> EMVC Evergreen EIS	25%
> Newable	25%

Media/Leisure Strategy Apr 2019

> Atlantic Screen Media EIS	34%
> Station 12 Media Knowledge EIS	33%
> The Imbiba Leisure EIS	33%

06 Kuber Strategy Allocation

Diversified Growth Strategy Apr 2019

Generalist Growth Strategy Apr 2019

Generalist Technology Strategy Apr 2019

Specialist Technology Strategy Apr 2019

Media/Leisure Strategy Apr 2019

Single allocation:

	%
	%
	%
	%
	%
100	%

Single allocation: (min £5,000 per Portfolio, unless otherwise indicated, please input gross amount or %)

Amersham Corporate Development Capital (ACDC) Fund	£	%
Amersham Corporate Development Capital (ACDC) SEIS Fund	£	%
Atlantic Screen Media EIS	£	%
Blackfinch Ventures EIS Portfolios (minimum investment £10,000)	£	%
Boundary Capital AngelPlus EIS Fund	£	%
Boundary Capital AngelPlus SEIS Fund	£	%
The British Design Fund 2 (Product design and manufacturing) SEIS	£	%
British Design Fund 3 EIS	£	%
The British Robotics Sidecar Fund (Technology (robotics))	£	%
Centaur EIS	£	%
Committed Capital Growth EIS	£	%
Deepbridge Technology Growth EIS	£	%
Deepbridge Life Sciences EIS	£	%
Deepbridge Life Sciences SEIS (Tech)	£	%
Deepbridge Innovation SEIS	£	%
EMVC Evergreen EIS Fund	£	%
Guinness EIS (minimum investment £20,000)	£	%
Mariana Growth EIS Fund	£	%
Newable EIS Scale-up Fund 3	£	%
Par Syndicate EIS	£	%
Origin Interactive Entertainment SEIS Fund (Interactive Entertainment)	£	%
Startup Funding Club EIS Growth Fund	£	%
Station 12 Media, Entertainment and Knowledge Fund (EIS Allocation)	£	%
Station 12 Media, Entertainment and Knowledge Fund (SEIS Allocation)	£	%
The Start-Up Series Fund (EIS)	£	%
Start-Up Series Fund SEIS (Generalist/Consumer)	£	%
The Imbiba Leisure EIS	£	%
Symvan Technology SEIS Fund 3 (Tech)	£	%
Symvan Technology EIS Fund	£	%
Vala EIS Portfolio	£	%
Velocity Technology EIS	£	%
Velocity SEIS Technology Fund 4	£	%

06 Business Property Relief Portfolio /Fund selection

Single allocation:
(Min overall BR Portfolio £50,000
Min £12,500 per fund unless
otherwise indicated)

Blackfinch IHT Portfolios*

Blackfinch Capital Preservation

	%
--	---

Blackfinch Growth

	%
--	---

*Please tick one of the following: I would like to take a regular withdrawal:

quarterly

semi-annually

annually

Deepbridge IHT Service

	%
--	---

Guinness Sustainable Infrastructure
Service (Minimum Investment £25,000)

	%
--	---

Mariana Estate Planning Solution

	%
--	---

Other Fund (Minimum Investment £25,000)

	%
--	---

Total

100	%
-----	---

06 EIS /SEIS /BR Portfolio /Fund selection

In the event that any particular manager's portfolio is not able to accept some or all of my funds (please tick a box indicating your preference below):

Reallocate these across the other portfolios I have chosen in the same ratio

Or

Follow specific instructions below:

07 Tax Certificates

Please indicate where you would like the original Tax certificates (SEIS/EIS3/EIS5) sent to **(please tick one box only)**.

Yourself
Adviser
Accountant (Please complete details below)

Please provide Accountant details if you wish them to receive EIS certificates.

Firm name
Contact
Telephone
Email
Address

08 Data Protection

By providing personal information as part of your application and by signing this Application Form, you hereby confirm that you consent to the use of your personal information.

All data which you provide to the Administrator, Portfolio Managers, Promoter and your Authorised Financial Adviser (as appropriate) will be held by the relevant party subject to the Data Protection Act 1998.

The relevant parties will pass your personal data to each other and to other parties insofar as is necessary in order for them to provide their services as set out in this agreement and to the FCA and any regulatory authority which regulates them and in accordance with all other applicable laws.

Please indicate if your personal information may be used by the following organisations to send you details of new and existing products (including by email). Your permission may be withdrawn at any time by notifying the relevant organisation in writing that it may no longer be used in this way.

Kuber Ventures Limited

Your chosen Portfolio Managers

09 Cancellation Rights

There is a limited period during which you have the right to cancel your investment. If you wish to exercise this right to cancel, you must notify the Administrator (Woodside Corporate Services Limited, 4th Floor, 50 Mark Lane, London, EC3R 7QR) in writing within 14 days of receiving your welcome letter.

This will not affect the completion of transactions initiated prior to the administrator receiving your notice of cancellation.

Please tick box in order to waive your cancellation rights if you are making an investment that utilises Carry Back and in so doing leads to a conflict in timing of investment and the limited time during which you have the right to cancel your investment.

I confirm that:

1. Where I have selected an EIS/SEIS fund I wish to seek EIS/SEIS tax reliefs for my investment
2. I am applying on my own behalf
3. I agree to notify the Manager(s) if any investment is made in a Company with which I am connected, as defined in Sections 166, 167, 170 and 171 of the Income and Taxes Act (ITA)
4. I agree to notify the Manager(s) if, within the Relevant Period, I become connected with an Investee Company or receive value from such a company
5. I have read the Guide to Investing for EIS, SEIS and BR (as appropriate), the Platform Guide and all Scheme documents listed in the Fund Factsheet in relation to the Schemes in which I wish to invest. By signing this declaration I agree to the terms of and to be bound as a party to:
 - (a) the Platform Agreement,
 - (b) the Investment Management Agreement or, where indicated in the Fund Fact Sheet for a Scheme, the Manager's own Investor Agreement; and
 - (c) the Administration and Custody Agreement which I authorise either the Manager (under the terms of the Investment Management Agreement), or Kuber (under the terms of the Platform Agreement) to enter into on my behalf.
6. I instruct the Portfolio Managers to pay any proceeds, dividends, distributions or other payments that may from time to time be payable to me to the Administrator to hold on my behalf under the terms of the Administration and Custody Agreement.
7. I instruct the Portfolio Managers to provide all reports, statements and other information regarding the Schemes in which I invest to Kuber who will receive them on my behalf and will make them available to me via the Platform.
8. I accept that the information supplied in part 5 above is only to enable the Portfolio Managers to assess the appropriateness of the Portfolio for me
9. I acknowledge that neither Kuber Ventures Limited, the Portfolio Managers, Sturgeon Ventures LLP, Woodside Corporate Services Ltd nor Charles Russell Speechlys LLP are providing investment, legal, financial, tax or other advice and that any tax information provided is in the context of an investment into the Portfolio
10. I have read this Application Form and I confirm that I have provided information on my personal and financial circumstances which is true and accurate at the date of this Application Form in order that the Portfolio Managers may assess the suitability for me of investment in the Qualifying Shares. I confirm that such information will be true and accurate at the date upon which this Application Form is accepted by the Managers. I understand that the Portfolio Managers may decline to act on my behalf in the event that the information provided is incomplete
11. I will notify the Portfolio Managers via Kuber Ventures if the information on my personal and financial circumstances as provided in this application form changes to an extent that it may impact upon the appropriateness or suitability for me of investing in the Qualifying Shares
12. I have advised the Portfolio Managers if I am a person who is subject to professional rules preventing me from making investments in particular Investee Companies
13. I consent to the order execution policy contained within the Investor Agreement in the Kuber Platform Guide and provide my express consent that, on occasions when the Portfolio Managers pass an order to another party for execution, the counter party may execute the trade outside a regulated market or multi-lateral trading facility and may not publish any unexecuted client limit orders
14. I confirm that the adviser in section 4 is appointed as an authorised 3rd party and I consent to the remuneration detailed in section 4 being paid to my financial adviser.
- 15.* *I confirm that I am a certified high net worth investor and have within the last twelve months signed a certificate confirming that fact.*
I am a certified high net worth individual because at least one of the following applies:
 - (a) *I had, during the financial year immediately preceding the date below, an annual income to the value of £100,000 or more;*
 - (b) *I held, throughout the financial year immediately prior to the date on which I signed the certificate, net assets to the value of £250,000 or more. Net assets for these purposes do not include:*
 - (i) *the property which is my primary residence or any loan secured on that residence;*
 - (ii) *any rights of mine under a qualifying contract of insurance within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001; or*
 - (iii) *any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or my death or retirement and to which I am or (or my dependants are), or may be, entitled.*
I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

*** If not applicable please delete. If not advised please contact Kuber Ventures**

Signature

Date / /

Adviser Confirmation (please sign below):

We confirm that the Investor is a customer of our firm and we have assessed the suitability of this investment for the Applicant.

We have confirmed the identity of the investor and verified the details given in section 1. The evidence that we hold meets the standard required by The Money Laundering Regulations 2017 and set out within the guidance for the UK financial sector issued by JMLSG; or

We have enclosed evidence to support the details given in Section 1. (ie one item of documentation from List A and one item from List B, as specified on page 2)

Signature of adviser

Date / /

Print name

FCA Number

11 Appendix 1 – Source of Funds/Confirmation of Verification of Identity

Corporate and other non-personal entity introduction by an FCA-regulated firm

If you are funding your investment from an account of which you are the sole owner there is no need to complete this section.

If you will be funding your investment contribution from an account of which you are NOT the sole owner, it will be necessary to conduct CDD checks on the account owner.

Please complete the sections below with details of the account owner, providing certified copies of the relevant CDD documentation as requested:

Corporate Account:

Type of entity: (corporate, trust etc)	<input type="text"/>	Business Address:	<input type="text"/> <input type="text"/>
Name of entity:	<input type="text"/>		<input type="text"/>
Registered number if any:	<input type="text"/> <input type="text"/> <input type="text"/>	Registered Office: (if different in country of incorporation)	<input type="text"/> <input type="text"/> <input type="text"/>

We will require sight of:

- The latest annual return, memorandum and articles of association
- Register of directors
- List of signatories who can sign on behalf of the company

In the case of a limited company we will require an individual CDD disclosure for each beneficial owner over 25%.

Private Account:

Name:	<input type="text"/>	Account Holder Address:	<input type="text"/> <input type="text"/> <input type="text"/>
Account details:	<input type="text"/>		

Please provide individual AMC documentation as outlined in the previous AML requirements section.

To be completed by the Adviser

The evidence I/we have obtained to verify the identity of the customer (tick one only):

i) Meets the standard evidence set out within the guidance for the UK financial sector issued by JMLSG

or:

ii) Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation):

Details of introducing firm:

FRN:

Full name
of authorised
firm:

Print name:

Position:

Signature

Date: / /

If you have not received advice from an authorised firm as indicated on page 5 section 5, please sign one or both statements below. You agree to inform Kuber Ventures as soon as possible if a change in circumstances means that the statement(s) that you have signed below becomes incorrect.

Statement for Self-Certified Sophisticated Investor

I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act (Financial Promotion) Order 2005.

I make this statement so that I am able to receive promotions which are exempt from the restrictions on financial promotion in the Financial Services and Markets Act 2000. The exemption relates to certified sophisticated investors and I declare that

I qualify as such in relation to investments in shares or debentures qualifying under the Enterprise Investment Scheme or Seed Enterprise Investment Scheme. I accept that the contents of promotions and other material that I receive may not have been approved by an authorised person and that their content may not therefore be subject to controls which would apply if the promotion were made or approved by an authorised person. I am aware that it is open to me to seek advice from someone who specialises in advising on this kind of investment.

I understand that this means:

- a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Conduct Authority
- b) the content of such financial promotions may not conform to rules issued by the Financial Conduct Authority
- c) by signing this statement I may lose significant rights
- d) I may have no right to complain to either of the following:
 - i. the Financial Conduct Authority
 - ii. the Financial Ombudsman Scheme
- e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a self-certified sophisticated investor because at least one of the following applies:

- a) I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below
- b) I have made more than one investment in an unlisted company in the two years prior to the date below
- c) I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium sized enterprises
- d) I am currently, or have been in the two years prior to the date, below a director of a company with an annual turnover of at least £1 million

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments

Signature of adviser

Date

 / /

Please once again ensure you have completed the relevant sections as necessary:

	Tick
Section 1 – Personal Details	
Section 2 – Subscription	
Section 3 – Bank Account details	
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Please return your completed application form and the identity documentation described in the following Section to: Woodside Corporate Services Limited, 4th Floor, 50 Mark Lane, London, EC3R 7QR

For more information

Kuber Ventures Limited
North West House,
119 Marylebone Road,
Marylebone,
London, NW1 5PU

Call 020 7952 6685
Email info@kuberventures.com
Visit www.kuberventures.com

Kuber VENTURES
Opening the door to EIS investment